

Home Search Collections Journals About Contact us My IOPscience

Superconductivity in the two-dimensional t-J model at low electron density

This article has been downloaded from IOPscience. Please scroll down to see the full text article. 1994 J. Phys.: Condens. Matter 6 3771 (http://iopscience.iop.org/0953-8984/6/20/016)

View the table of contents for this issue, or go to the journal homepage for more

Download details: IP Address: 171.66.16.147 The article was downloaded on 12/05/2010 at 18:26

Please note that terms and conditions apply.

# Superconductivity in the two-dimensional t-J model at low electron density

M Yu Kagant and T M Rice

Theoretische Physik, ETH-Hönggerberg, 8093 Zürich, Switzerland

Received 4 March 1994

Abstract. The two-dimensional t-J model at low electron densities is unstable against various forms of electron pairing at low enough temperatures. At parameter values  $J/t \ll 1$ , the leading instability, although only at very low temperature, is to p-wave pairing similar to the Hubbard model. At values of J/t > 1, an instability against d-wave pairing sets in at a higher temperature as found numerically by Dagotto and co-workers. In addition, at values of J/t > 2, which are beyond the threshold for a bound state in the low-electron-density limit, a region of predominantly s-wave pairing is found.

#### 1. Introduction

The t-J model was derived many years ago by Bulaevskii and co-workers [1] to describe the strong-coupling limit of the single-band Hubbard model. The study of this model has become very active in recent years due to Anderson's proposal [2] that it was the appropriate model to describe the doped CuO<sub>2</sub> planes that are the key ingredients of the high- $T_c$  cuprates. Later Zhang and Rice [3] elucidated the relationship of the t-J model to a multiband Hubbard description with Cu  $3d_{x^2-y^2}$  and O  $2p_{\sigma}$  orbitals. The careful numerical investigation of Hybertsen and co-workers [4] established the parameter values in the mapping of the multiband Hubbard model for the CuO<sub>2</sub> planes into an one-band t-Jmodel, namely  $J \sim 0.3t$ . In the single-band Hubbard model the mapping to a t-J model is valid only in the strong-coupling limit which leads to values  $J \ll t$ . In a more general model other values of J/t can occur. A lot of work has been done to clarify analytically the relationship between the t-J and multiband Hubbards, see e.g. [5] and references therein. In this paper we will treat the ratio J/t simply as a parameter to be varied arbitrarily.

The leading pairing instability of the two-dimensional Hubbard model at strong coupling and low electron density was found by Baranov, Kagan and Chubukov [6, 7] to be to a pwave triplet pairing. Note this instability arises only when higher-order terms are included in the two-particle **T**-matrix, and occurs only at very low temperatures. In view of the close relationship between the Hubbard and t-J models we expect a similar instability in the latter model when  $J \ll t$ . Recently, Dagotto and co-workers [8] found by numerical means pairing instabilities as precursors to phase separation. The onset in the numerical studies was at J = 2t which, as reported by Emery, Kivelson and Lin [9, 10], is the threshold for a singlet bound state of two electrons in an empty lattice. In the low-density region, electron density  $n \leq 0.25$ , Dagotto and co-workers [8] found the leading pairing instability when

<sup>†</sup> Permanent address: P L Kapitza Institute for Physical Problems, Kosygin Street 2, Moscow 117334, Russia.

J > 2t is to singlet s-wave pairing but at a higher density (n > 0.25) there was a crossover to  $d_{x^2-y^2}$  pairing as the leading instability.

In this paper we will extend the earlier work of Baranov, Kagan and Chubukov [6,7] on pairing instabilities in the low-density Hubbard model to the case of the t-J model. We are particularly interested in understanding, from an analytic viewpoint, the factors which determine the competition between these three different pairing symmetries, namely triplet p-wave at  $J \ll t$  and singlet s and  $d_{x^2-y^2}$  pairings when  $J \gtrsim t$ . A study of the **T**-matrix in the various symmetry channels shows how the pairing instabilities evolve with changing n and J/t. We find good agreement between our analytic approximations and the numerical calculations of the boundary between s and  $d_{x^2-y^2}$  pairing with increasing density n at  $J \ge 2t$ . It is interesting to note that if we arbitrarily extend these low-electron-density calculations to the relevant parameter regime for the cuprates  $(J/t \sim \frac{1}{2}, n \simeq 0.85)$ , we find a high-temperature instability to  $d_{x^2-y^2}$  pairing.

The outline of this paper is as follows. In the next section we examine the form of the T-matrix for particle-particle scattering in the low-density limit and obtain the thresholds for a two-particle bound state in both the s- and d-wave singlet channels. In the third section we use these forms of the T-matrix to estimate the mean field transition temperature for pairing instabilities in these channels and also in the p-wave triplet channel. We compare our results with the previous work on the Hubbard model and the numerical calculations for the t-J model. The last section is devoted to concluding remarks.

### 2. The T-matrix in the particle-particle channel at low electron density

It is convenient to write the t-J model without the local constraint in the following form:

$$H = -t \sum_{\langle i,j \rangle,\sigma} c^+_{i\sigma} c_{j\sigma} + J \sum_{\langle i,j \rangle} \left( S_i \cdot S_j - \frac{1}{4} n_i n_j \right) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$
(1)

where  $c_{i\sigma}^+$  creates an electron of spin  $\sigma$  on site *i*,  $n_i = c_{i\sigma}^+ c_{i\sigma}$  and  $S_i = \frac{1}{2} c_{i\alpha}^+ (\tau)_{\alpha\mu} c_{i\mu}$ are the electron density and spin operators,  $\tau_{\alpha\mu} = (\tau_{\alpha\mu}^1 \cdots \tau_{\alpha\mu}^3)$  are Pauli matrices and  $\langle ij \rangle$  denotes nearest-neighbour sites.

The Hubbard term mimics the constraint on double occupancy in (1). By setting  $U = \infty$  we recover the standard t-J model for  $n \to 1$ :

$$H = -t \sum_{\langle ij \rangle \sigma} \hat{c}^+_{i\sigma} \, \hat{c}_{j\sigma} + \widetilde{J} \sum_{\langle ij \rangle} \left( S_i \cdot S_j - \frac{1}{4} \, n_i n_j \right)$$

with  $\hat{c}_{i\sigma} = c_{i\sigma}(1 - n_{i-\sigma})$  and  $\tilde{J} = J + 4t^2/U$  (= J for  $U \to \infty$ ). In a momentum space representation on the square lattice we obtain from (1)

$$H = \sum_{p\sigma} \varepsilon_{p} c_{p\sigma}^{+} c_{p\sigma} + \sum_{k_{1} k_{2} q \alpha \beta \gamma \mu} \frac{J(q)}{2} (\tau_{\alpha\beta} \tau_{\gamma\mu} - \delta_{\alpha\beta} \delta_{\gamma\mu}) c_{k_{1}\alpha}^{+} c_{k_{2}\gamma}^{+} c_{k_{2}-q\mu} c_{k_{1}+q\beta}^{+} + U \sum_{k_{1} k_{2} q} c_{k_{1}\uparrow}^{+} c_{k_{2}\downarrow}^{+} c_{k_{2}-q\downarrow} c_{k_{1}+q\uparrow}$$
(2)

where  $\varepsilon_p = -2t(\cos p_x + \cos p_y)$ ,  $J(q) = J(\cos q_x + \cos q_y)$ . The lattice constant is set equal to one. The total interactions in the singlet  $(V_s)$  and triplet  $(V_t)$  channels for an electron pair (p, -p) to be scattered to (p', -p') are given by

$$\begin{cases} V_s(q) = U - 2J(q) \\ V_t(q) = 0 \end{cases}$$
(3)

where q = p - p' is the transferred momentum. For the pairing problem it is convenient to expand J(q) in (3) in a series with the eigenfunctions of the irreducible representations of the lattice symmetry group D<sub>4</sub>. This yields

$$\cos q_x + \cos q_y \equiv \cos(p_x - p'_x) + \cos(p_y - p'_y) = \frac{1}{2} [(\cos p_x + \cos p_y)(\cos p'_x + \cos p'_y) + (\cos p_x - \cos p_y)(\cos p'_x - \cos p'_y)] + \sin p_x \sin p'_x + \sin p_y \sin p'_y.$$
(4)

The first term in square brackets corresponds to the A<sub>1</sub> identical representation (s-wave pairing), the second term to the B<sub>1</sub> representation  $(d_{x^2-y^2}$  pairing) and the last two terms to the two-dimensional representation E (p-wave pairing). Note that for the singlet channel only the first two terms, which are in the square brackets, are relevant.

Substituting the formula (4) into (3) we obtain

$$V(\text{s-wave channel}) = U - J(\cos p_x + \cos p_y)(\cos p'_x + \cos p'_y)$$
  

$$V(d_{x^2-y^2} \text{ channel}) = -J(\cos p_x - \cos p_y)(\cos p'_x - \cos p'_y).$$
(5)

We are now ready to solve the **T**-matrix problem in both s-wave and d-wave channels. Let us consider first the s-wave channel. The Bethe-Salpeter integral equation for  $T_{pp'}(E)$  has the following form:

$$T_{pp'}(E) = V_{pp'} + \iint V_{pp''} \frac{\mathrm{d} p''_x \,\mathrm{d} p''_y}{E + 4t(\cos p''_x + \cos p''_y)} T_{p''p'}(E) \tag{6}$$

where

$$V_{pp'} = U - J(\cos p_x + \cos p_y)(\cos p'_x + \cos p'_y) = U - J\varphi_p\varphi_{p'}$$

and  $\varphi_p = \cos p_x + \cos p_y$ . Here (p, -p) and (p', -p') are incoming and outgoing momenta in the particle-particle channel. We will use the following *ansatz* for  $T_{pp'}$ :

$$T_{pp'}(E) = T_1(E) + T_2(E)(\varphi_p + \varphi_{p'}) + T_3(E)\varphi_p\varphi_{p'}$$

Then due to the orthogonality properties of functions  $\varphi_p$  it is possible to reduce the integral equations (6) to the system of three algebraic equations for  $T_1$ ,  $T_2$ ,  $T_3$ 

$$\begin{cases} T_1 = U + UT_1I_0 + UT_2I_x \\ T_2 = -JT_1I_x - JT_2I_{xx} \\ T_3 = -J - JT_3I_{xx} - JT_2I_x \end{cases}$$
(7)

where

$$I_0 = \int_0^{2\pi} \frac{\mathrm{d}p_x \,\mathrm{d}p_y}{(2\pi)^2} \frac{1}{E + 4t \,(\cos p_x + \cos p_y)} = \frac{2}{\pi E} \,K\left(-\frac{8t}{E}\right).$$

K is the complete elliptic integral of the first order. The other integrals are

$$I_x = \int_0^{2\pi} \frac{\mathrm{d}p_x \,\mathrm{d}p_y}{(2\pi)^2} \frac{\cos p_x + \cos p_y}{E + 4t \,(\cos p_x + \cos p_y)} = \frac{1}{4t} - \frac{E}{4t} \,I_0$$
$$I_{xx} = \int_0^{2\pi} \frac{\mathrm{d}p_x \,\mathrm{d}p_y}{(2\pi)^2} \frac{(\cos p_x + \cos p_y)^2}{E + 4t \,(\cos p_x + \cos p_y)} = -\frac{E}{4t} \left(\frac{1}{4t} - \frac{E}{4t} \,I_0\right) = -\frac{E}{4t} \,I_x.$$

Solving (7) we arrive at results

$$T_{1} = \frac{U(1 + JI_{xx})}{(1 - UI_{0})(1 + JI_{xx}) + UJI_{x}^{2}}$$

$$T_{2} = \frac{-UJI_{x}}{(1 - UI_{0})(1 + JI_{xx}) + UJI_{x}^{2}}$$

$$T_{3} = \frac{-J(1 - UI_{0})}{(1 - UI_{0})(1 + JI_{xx}) + UJI_{x}^{2}}.$$
(8)

By analogy with the definition of the scattering length in quantum mechanics  $(a = \lim_{p',p\to 0} f_{pp'}, f$  is the scattering amplitude), it is natural to define the T-matrix for two particles as a zero-momentum limit of  $T_{pp'}(E)$ . In the s-wave channel we obtain the result

$$T_{s}(E) = \lim_{p', p \to 0} T_{pp'}(E) = T_{1}(E) + 4T_{2}(E) + 4T_{3}(E)$$

which using (8) takes the form

$$T_{s}(E) = \frac{U(1+JI_{xx}) - 4UJI_{x} - 4J(1-UI_{0})}{(1-UI_{0})(1+JI_{xx}) + UJI_{x}^{2}}.$$
(9)

For  $U \rightarrow \infty$  the expression (9) can be simplified to yield

$$T_{\rm s}(E) = \frac{1 + JI_{xx} - 4JI_x + 4JI_0}{-I_0 - JI_0I_{xx} + JI_x^2}.$$
 (10)

Taking into account the relations between  $I_0$  on the one hand and  $I_x$ ,  $I_{xx}$  on the other, we can rewrite (10) in the form

$$T_{\rm s}(E) = \frac{1 - (J/t)(E/16t+1) + (E^2/16t^2 + E/t+4)JI_0}{-(1 + JE/16t^2)I_0 + J/16t^2}$$

Introducing the binding energy,  $\widetilde{E} = E + 8t < 0$ , we obtain for small values of  $|\widetilde{E}|$ 

$$I_0 = -\frac{1}{8\pi t} \ln \frac{64t}{|\tilde{E}|}.$$

As a result, neglecting terms of the order of  $\widetilde{E} \ln \widetilde{E}$  which vanish as  $\widetilde{E} \to 0$ , we come to the following expression for  $T_s(\widetilde{E})$ :

$$T_{s}(|\tilde{E}|) = \frac{(1 - J/2t)}{[(1 - J/2t)/8\pi t] \ln 64t/(|\tilde{E}|) + J/16t^{2}}$$
$$= \frac{W(1 - J/2t)}{(1/\pi)(1 - J/2t) \ln 8W/|\tilde{E}| + J/2t}$$
(11)

where W = 8t is the bandwidth.

For  $J \ll J_{c0}(= 2t)$  the T-matrix  $T_s(|\tilde{E}|) = \pi W/\ln(8W/|\tilde{E}|)$  is positive which corresponds to repulsion and coincides with the T-matrix obtained by Fukuyama *et al* [11] for the 2D Hubbard model at low electron density.

For  $J = J_{c0}$ ,  $T_s(|\vec{E}|) = 0$  and there is no interaction at all. However, for  $J > J_{c0}$ ,  $T_s(|\vec{E}|) < 0$  and this corresponds to attraction. Moreover, there exists now a bound state of two electrons [9, 10] on the empty lattice with the binding energy

$$|\widetilde{E}_{\rm b}| = 8W \exp\left\{-\frac{\pi J}{(J-J_{c0})}\right\}$$

Note that  $|\widetilde{E}|$  tends to zero exponentially when J approaches  $J_{c0}$ . Finally for  $J \gg J_{c0}$  we obtain  $|\widetilde{E}_b| = 8W \exp\{-\pi\} \sim W$ .

For the sake of completeness let us solve the **T**-matrix problem also in the d-wave channel. The corresponding Bethe–Salpeter equation has the following form:

$$T_{pp'}(E) = T_{d}\varphi_{p}\varphi_{p'} = -J\varphi_{p}\varphi_{p'} - JT_{d}\varphi_{p}\varphi_{p'}I_{d}$$
(12)

where  $V_{pp'} = -J(\cos p_x - \cos p_y)(\cos p'_x - \cos p'_y)(= -J\varphi_p\varphi_{p'})$ . The integral  $I_d$  is defined as

$$I_{\rm d} = \int_{0}^{2\pi} \frac{\mathrm{d} p_x'' \,\mathrm{d} p_y''}{(2\pi)^2} \frac{\varphi_{p''}^2}{E + 4t (\cos p_x'' + \cos p_y'')}$$

The solution of the equation (12) reads:

$$T_{\rm d} = -\frac{J}{1+JI_{\rm d}}$$

and the calculation of  $I_d$  yields

$$I_{\rm d} = \frac{-E}{16t^2} + \left(\frac{8}{\pi E} - \frac{E}{8\pi t^2}\right) K\left(-\frac{8t}{E}\right) + \frac{E}{4\pi t^2} \mathcal{E}\left(-\frac{8t}{E}\right)$$

where K(-8t/E) and  $\mathcal{E}(-8t/E)$  are complete elliptic integrals of the first and second kind (see [12]). Introducing again the binding energy  $\widetilde{E}(=E+8t)$  we obtain for small values of  $|\widetilde{E}|$  (or,  $E \to -8t$ )

$$K\left(-\frac{8t}{E}\right) = \frac{1}{2} \ln \frac{64t}{|\widetilde{E}|}$$
$$\mathcal{E}\left(-\frac{8t}{E}\right) = 1 + \frac{|\widetilde{E}|}{16t} \left(\ln \frac{64t}{|\widetilde{E}|} - 1\right)$$

Then neglecting terms of the order of  $\widetilde{E}^2$  we obtain the limiting value

$$I_{\rm d} = -\frac{1}{2t} \left(\frac{4}{\pi} - 1\right) + \frac{|\widetilde{E}|}{16t^2} \left(1 - \frac{2}{\pi}\right).$$

As a result

$$T_{\rm d}(|\widetilde{E}|) = \frac{-J}{1 - (J/2t)(4/\pi - 1) + (J|\widetilde{E}|/16t^2)(1 - 2/\pi)}$$
 (13)

It follows that only for  $J > J_{cd} = 2t/(4/\pi - 1) \simeq 6t$  does a bound state with d-wave symmetry exist for two particles on the empty lattice. The binding energy of this state is given by

$$|\widetilde{E}_{\rm b}| = \frac{(J-J_{\rm cd})}{J} \frac{W2t}{J_{\rm cd}(1-2/\pi)} \simeq W \frac{J-J_{\rm cd}}{J}.$$

Note that the threshold  $J_{cd}$  for a d-wave bound state is much larger than the threshold  $J_{c0}$  for an s-wave bound state. Also  $|\widetilde{E}_b|$  tends to zero linearly and not exponentially when J approaches  $J_{cd}$ . For  $J \gg J_{cd}$ , the binding energy saturates and  $|\widetilde{E}_b| \rightarrow W$ .

### 3. The Cooper pairing problem

We proceed now from the two-particle problem to the problem of Cooper pairing of two particles in the presence of a filled Fermi sea. We know that in two dimensions it is obligatory for an s-wave Cooper pair to be accompanied by a bound s-wave state of two particles in the empty lattice [13-15]. It follows that  $J = J_{c0} (\equiv 2t)$  serves as a threshold for s-wave Cooper pairing. At the same time, to form p-wave and d-wave Cooper pairs, the existence of the corresponding bound state is not obligatory.

It follows that p-wave Cooper pairs can exist for arbitrary small values of J. Also d-wave Cooper pairs can be realized below the threshold for the bound state, i.e. for  $J < J_{cd}(\simeq 6t)$ .

A knowledge of the T-matrices for s-wave and d-wave bound states ( $\tilde{E} < 0$ ) allows us to obtain in straightforward manner the expressions for mean field superconducting critical temperatures. The only modifications we must introduce in the expressions (11, 13) for  $T_s(|\tilde{E}|)$  and  $T_d(|\tilde{E}|)$  are the following. We must replace  $\tilde{E} < 0$  by  $\tilde{E} = 2\varepsilon_F (= p_F^2/m > 0)$ ( $\varepsilon_F$  is the Fermi energy) and we must add imaginary parts to the denominators of (11) and (13) which are absent in the bound-state problem. To be more specific, for  $\tilde{E} < 0$ 

$$\operatorname{Im} I_0 = \operatorname{Im} I_d = 0$$

but for  $\widetilde{E} = 2\varepsilon_{\rm F} > 0$ 

$$Im I_{0} = \int_{0}^{2\pi} \frac{dp_{x} dp_{y}}{4\pi} \delta[\tilde{E} + 4t(\cos p_{x} + \cos p_{y}) - 8t] = \frac{1}{8\pi t}\pi = \frac{m}{4} \neq 0 \qquad m = 1/2t$$
$$Im I_{d} = \int_{0}^{2\pi} \frac{dp_{x} dp_{y}}{4\pi} (\cos p_{x} - \cos p_{y})^{2} \delta[\tilde{E} + 4t(\cos p_{x} + \cos p_{y}) - 8t] = \frac{\tilde{E}^{2}}{W^{2}} \frac{1}{J_{cd}}$$
$$= \left(\frac{2\varepsilon_{F}}{W}\right)^{2} \frac{1}{J_{cd}}.$$

As a result, we obtain the following forms for the T-matrices in the positive energy sector:

$$T_{s}(\widetilde{E} = 2\varepsilon_{F}) = \frac{8\pi t}{\ln(4W/E_{F}) + \pi J (J_{c0} - J)^{-1} + i\pi}$$

$$T_{d}(\widetilde{E} = 2\varepsilon_{F}) = \frac{-J J_{cd}}{J_{cd} - J + 2\varepsilon_{F} J/W + iJ (2\varepsilon_{F}/W)^{2}}.$$
(14)

The gas parameter for the s-wave channel is given by

$$f_0 = \frac{mT_s(\bar{E} = 2\varepsilon_F)}{4\pi} = \frac{1}{\ln(4W/\varepsilon_F) - \pi J(J - J_{c0})^{-1} + i\pi}$$
(15)

Note that for  $\tilde{E} > 0$  there is no pole in the expression for  $T_s(\tilde{E})$  and  $T_d(\tilde{E})$ . For  $\varepsilon_F = \frac{1}{2}|\tilde{E}_b|$  we are in a resonant situation. It follows that

$$T_{\rm s}(2\varepsilon_{\rm F}=|E_{\rm b}|)=-8it$$
  $T_{\rm d}(2\varepsilon_{\rm F}=|E_{\rm b}|)=iJ_{\rm cd}W^2/4\varepsilon_{\rm F}^2$ 

and the T-matrices reach the unitary limit.

Let us proceed now to the calculation of critical temperatures. For  $J \ll J_{c0}$ , the gas parameter  $f_0 = (\ln(4W/\varepsilon_F))^{-1} > 0$  and s-wave Cooper pairing is absent. However, even for these values of J, p-wave pairing and  $d_{xy}$  pairing are possible [6, 7]. To obtain  $d_{xy}$ pairing it is necessary to calculate the irreducible bare vertex for Cooper channel  $\tilde{\Gamma}_{pp'}$  up to the second order in  $f_0$ . For low density ( $p_F \ll 1$ ) this yields an attraction the in B<sub>2</sub> ( $d_{xy}$ ) channel (see [6] for more details).

$$\widetilde{\Gamma}_{B_2} \equiv \widetilde{\Gamma}_{d_{xy}} = -f_0^2 \, \frac{p_F^4}{320} \, (\sin p_x \sin p_y) (\sin p'_x \sin p'_y).$$

The mean field critical temperature for  $d_{xy}$  pairing reads

$$T_{\rm c}^{\rm xy} \sim \varepsilon_{\rm F} \exp\left\{-\frac{320}{f_0^2 p_{\rm F}^4}\right\}.$$
 (16)

To obtain p-wave pairing it is necessary to take into account third-order diagrams for  $\Gamma_{pp'}$ . This yields an attraction in the E (p-wave) channel (see [7] for more details)

$$\widetilde{\Gamma}_{\rm E} \equiv \widetilde{\Gamma}_{\rm p \ wave} = -4.1 f_0^3 \sin p_x \sin p'_x$$

The mean field critical temperature for p-wave pairing is given by

$$T_{\rm c}^{\rm p} \sim \varepsilon_{\rm F} \exp\left\{-\frac{1}{4.1f_0^3}\right\}.$$
(17)

For low density  $\widetilde{\Gamma}_{\rm E} > \widetilde{\Gamma}_{\rm B_2}$  because  $f_0^3/f_0^2 p_{\rm F}^4 = f_0/p_{\rm F}^4 \sim 1/p_{\rm F}^4 \ln(1/p_{\rm F}) \gg 1$ . That is why for  $J \ll J_{c0}$  and  $n \to 0$   $T_c^{\rm p} > T_c^{\rm xy}$  and the p-wave pairing will be stabilized.

To have the full picture for  $J < J_{c0}$  we must also calculate the critical temperature for  $d_{x^2-y^2}$  pairing. The corresponding Bethe–Salpeter equation for the total vertex  $\Gamma_d$  in the Cooper channel has the following form for this d-wave pairing:

$$\Gamma_{\rm d} = -J - \frac{J\Gamma_{\rm d}}{(2\pi)^2} \int_0^{2\pi} \frac{(\cos p_x - \cos p_y)^2 dp_x dp_y}{-4t(\cos p_x + \cos p_y) - 2\mu} \,\mathrm{th}\left(\frac{-2t(\cos p_x + \cos p_y) - \mu}{2T}\right) (18)$$

where T is the temperature and  $\mu = -4t + \varepsilon_{\rm F}$ .

After the standard renormalization procedure which corresponds to the addition and subtraction from (16) of the integral

$$\frac{J\Gamma_{\rm d}}{(2\pi)^2} \int_0^{2\pi} \frac{(\cos p_x - \cos p_y)^2}{-4t(\cos p_x + \cos p_y) + 8t} \, \mathrm{d}p_x \mathrm{d}p_y$$

we obtain for small density

$$\Gamma_{\rm d} = \frac{-J}{1 - J/J_{\rm cd} - Jp_{\rm F}^4 (32\pi t)^{-1} \ln(\varepsilon_{\rm F}/T_{\rm c}^{\chi^2 - y^2})}$$

and accordingly

$$T_{\rm c}^{x^2-y^2} \sim \varepsilon_{\rm F} \exp\left\{-\frac{(J_{\rm cd}-J)32\pi t}{Jp_{\rm F}^4 J_{\rm cd}}\right\} = \varepsilon_{\rm F} \exp\left\{-\frac{32\pi t}{T_{\rm d} p_{\rm F}^4}\right\}.$$
(19)

Introducing electron density  $n = p_F^2/2\pi$  (*n* is measured in units of a half-filled band, i.e. *n* is the electron density/site) we can rewrite the formulae (17) and (19) for the mean field transition temperatures for  $d_{x^2-y^2}$  and p-wave pairing in the following forms:

$$\ln\left(\frac{\varepsilon_{\rm F}}{T_{\rm c}^{\chi^2-y^2}}\right) \approx \frac{8t}{\pi T_{\rm d}n^2} \qquad T_{\rm d} = \frac{JJ_{\rm cd}}{J_{\rm cd}-J}$$

$$\ln\left(\frac{\varepsilon_{\rm F}}{T_{\rm c}^{p}}\right) = \frac{1}{4.1} \left(\ln\left(\frac{16}{\pi n}\right) + \frac{J\pi}{J_{c0}-J}\right)^3 = \frac{1}{4.1 f_0^3}.$$
(20)

A comparison of the critical temperatures yields

for 
$$n = 0.2$$
  $T_c^p > T_c^{x^2 - y^2}$  for  $J < t$   
for  $n = 0.5$   $T_c^p > T_c^{x^2 - y^2}$  for  $J < 0.6t$ .

It follows that the region of the J-n phase diagram ( $n \le 0.5$ ;  $J < J_{c0}$ ), which in numerical calculations of Dagotto and co-workers [8] is called a paramagnetic phase, in reality has p-wave superconducting pairing for smaller values of J and  $d_{x^2-y^2}$  pairing for larger J.

Let us proceed now to the case of  $J > J_{c0}$ . In this case an s-wave pairing takes place below the critical temperature (see [13–15]).

$$T_{\rm c}^{\rm s} \sim \varepsilon_{\rm F} \exp\left\{-\frac{1}{\mid f_0 \mid}\right\}$$
(21)

 $f_0 = \left\{ \ln \left( \frac{4W}{\varepsilon_F} \right) - \frac{J\pi}{(J - J_{c0})} + i\pi \right\}^{-1}; |f_0| \text{ denotes the absolute magnitude of } f_0.$ Everywhere, except for a narrow region close to the resonance  $(\varepsilon_F \rightarrow |\tilde{E}_b|/2) = 1$ 

Everywhere, except for a narrow region close to the resonance  $(\varepsilon_F \rightarrow |E_b|/2 = 4W \exp\{-J\pi/(J - J_{c0})\})$ , we can rewrite (21) in the form

$$T_{\rm c}^{\rm s} \sim \sqrt{2\varepsilon_{\rm F}|\widetilde{E}_{\rm b}|} = \frac{8\sqrt{2}\varepsilon_{\rm F}}{p_{\rm F}} \exp\left\{-\frac{J\pi}{2(J-J_{\rm c0})}\right\}.$$
(22)

Formula (22) describes both the case of weakly bound Cooper pairs for  $\varepsilon_{\rm F} \gg |E_b|$  and tightly bound dimers or 'bipolarons' [16, 17] for  $\varepsilon_{\rm F} \ll |\widetilde{E}_b|$ . The chemical potential of the system, found by Miyake [13] from the self-consistent approach of Leggett [18], reads  $\mu = \varepsilon_{\rm F} - |E_b|/2$  and describes for  $\mu > 0$  ( $\varepsilon_{\rm F} \gg |\widetilde{E}_b|$ ) the superconducting Fermi gas and for  $\mu < 0$  ( $\varepsilon_{\rm F} \ll |\widetilde{E}_b|$ ) the Bose liquid of bipolarons. The energy of the system also smoothly interpolates between these two limits

$$\frac{E_{\rm s}^{\rm BCS} - E_{\rm N}}{N} \sim -\frac{\Delta^2}{4\varepsilon_{\rm F}} \sim -\frac{T_{\rm c}^2}{4\varepsilon_{\rm F}} \sim -\frac{\left(\sqrt{2\varepsilon_{\rm F}}|\widetilde{E}_{\rm b}|\right)^2}{4\varepsilon_{\rm F}} \sim -\frac{|\widetilde{E}_{\rm b}|}{2} \sim \frac{E_{\rm s}^{\rm bipol} - E_{\rm N}}{N}$$
(23)

where  $\Delta$  is a superconductive gap, N is a total number of particles and  $E_N = (\varepsilon_F/2)N$  is an energy of a normal state.

Formula (23) means that for  $\varepsilon_{\rm F} \ll |\tilde{E}_{\rm b}|$  the energy of a superconducting state  $E_{\rm s}$  becomes negative and we pass from the gas phase to the liquid phase. Increasing J or  $|\tilde{E}_{\rm b}|$  for a fixed value of  $\varepsilon_{\rm F}$  (i.e., for fixed density) we finally will be in a situation where the dimers will condense in droplets, forming a non-homogeneous state. The numerical calculations of Dagotto and co-workers give the value  $J_{\rm PS} = 3.8t$  ( $n \to 0$ ) for the phase-separation instability. Note that analytical calculations of the phase-separation threshold require at least the evaluation of a four-particle vertex (describing the interaction between two dimers) and is rather cumbersome.

Let us now compare the temperatures of s-wave and d-wave pairing in the region n < 0.5and  $J_{c0} < J < J_{PS}$ . Comparison of formulae (19) and (22) for  $T_c^{x^2-y^2}$  and  $T_c^s$  yields

> for J = 2.5t  $T_c^{x^2 - y^2} > T_c^s$  for n > 0.29for J = 3t  $T_c^{x^2 - y^2} > T_c^s$  for n > 0.30

and for J close to phase separation  $T_c^{x^2-y^2} > T_c^s$  also for n > 0.3.



Figure 1. The phase diagram of the two-dimensional t-J model showing the regions of stability of s-wave, p-wave and  $d_{x^2-y^2}$  pairing as functions of the electron density  $(n_e)$  and the ratio (J/t) obtained in (a) the numerical calculations by Dagotto and co-workers [8] and (b) this work using an analytic low-density expansion.

It follows that, in agreement with numerical calculations of Dagotto and co-workers [8], when  $J > J_{c0}$  an s-wave pairing is stabilized for smaller densities while for larger densities d-wave pairing is more stable. Summing up the comparison between s-wave and d-wave temperatures on one hand and between d-wave and p-wave temperatures on the other hand we conclude that for n > 0.3 and for J > t, d-wave pairing is stabilized. Moreover when we increase the density it is stabilized even for smaller values of J. For instance d-wave pairing is stable for n = 0.5, J = 0.6t. It follows that the increase of electron density favours a d-wave pairing. In figure 1, we show the phase diagram obtained by numerical and analytic methods. If we make a rough extrapolation to the region of parameters relevant for high- $T_c$  materials, i.e.  $J \sim \frac{1}{2}t$ ,  $n \sim 0.85$ , we obtain from (19)

$$T_{\rm c}^{\chi^2 - y^2} \left( n = 0.85; \ J/t = \frac{1}{2} \right) \sim \varepsilon_{\rm F} \exp\{-7\} \sim \varepsilon_{\rm F} \times 10^{-3} \sim 10 \ {\rm K} \ {\rm for} \ \varepsilon_{\rm F} \sim 10^4 \ {\rm K}.$$

## 4. Conclusions

In conclusion, we would like to repeat that as expected, the two-dimensional t-J model at low electron density is equivalent for  $J \ll t$  to the two-dimensional Hubbard model with respect to superconductive pairing. It follows that for  $J \ll t$  the t-J model is unstable towards p-wave superconductive pairing. For J > t the leading instability at low density in the t-J model is towards s-wave or  $d_{x^2-y^2}$  pairing. Moreover, we find good agreement between analytic and numerical calculations for the location of s- and d-wave pairing regions, and the phase boundary between them in the J-n phase diagram.

## Acknowledgment

The authors are grateful to H Monien for helpful discussions.

## References

- Bulaevskii L N, Nagaev E L and Khomskii D I 1968 Zh. Eksp. Teor. Fiz. 54 1562 (Engl. Transl. 1968 Sov. Phys.-JETP 27 836
- [2] Anderson P W 1987 Frontiers and Borderlines in Many-Particle Physics, Proc. Varenna Summer School (Varenna, 1987)
- [3] Zhang F C and Rice T M 1988 Phys. Rev. B 37 3759
- [4] Hybertsen M S, Schlüter M and Christensen N E 1989 Phys. Rev. B 39 9028
- [5] Unger P and Fulde P 1993 Phys. Rev. B 47 8947
- [6] Baranov M A and Kagan M Yu 1992 Z. Phys. B 86 237
- Baranov M A, Chubukov A V and Kagan M Yu 1992 Int. J. Mod. Phys. B 6 2471
- [7] Chubukov A V 1993 Phys. Rev. B 48 1097
- [8] Dagotto E and Riera J 1993 Phys. Rev. Lett. 70 682 Dagotto E, Riera J, Chen Y C, Moreo A, Nazarenko A, Alcaraz F and Ortolani F to be published
- [9] Emery V J, Kivelson S A and Lin H Q 1990 Phys. Rev. Lett. 64 475
- [10] Lin H Q 1991 Phys. Rev. B 44 4674
- [11] Fukuyama H, Hasegawa Y and Narikiyo O 1991 J. Phys. Soc. Japan 60 2013; 1990 Preprint
- [12] Morita T 1971 J. Math. Phys. 12 1744
- [13] Miyake K 1983 Prog. Theor. Phys. 69 1794
- [14] Randeria M, Duan J M and Shieh L Y 1989 Phys. Rev. Lett. 62 981
- [15] Schmitt-Rink S, Varma C M and Ruckenstein A E 1989 Phys. Rev. Lett. 63 445
- [16] Alexandrov A S and Ranninger J 1981 Phys. Rev. B 23 1796
- [17] Micnas J, Ranninger J and Robaszkiewicz S 1990 Rev. Mod. Phys. 62 113
- [18] Leggett A J 1980 Modern Trends in the Theory of Condensed Matter (Lecture Notes of the 1979 Karpatz Winter School) ed A Pekalski and J Przystowa (Berlin: Springer) p 14